

Enterprise Risk Management

Financial services organizations operating in today's environment are characterized by constant change and require a more integrated approach to manage their risk exposure.

The volatile economic environment and intense focus on risk management processes is generating greater scrutiny of the role of boards and senior executives in the oversight of the multitude of risks their organizations face.

Financial services organizations looking for a more unified, efficient way to manage risk enterprise-wide, thereby reducing costs and providing more transparency are turning to the risk and compliance experts at Wolters Kluwer Financial Services.

ARC Logics™ for Financial Services

Created specifically for financial services, ARC Logics is a proven technology platform that delivers actionable risk intelligence where and when you need it to effectively manage enterprise risk. With it, even the most complex organizations can synchronize efforts across processes, operating units, and locations.

This revolutionary solution contains a standardized risk management framework and methodology based on financial services best practices. This significantly reduces the time spent determining what to measure, while increasing the time available for managing risk.

ARC Logics is a modular integration of key components: Policies and Procedures, Risks and Controls, Financial Analytics, Program Management and Audit. Together, the modules provide a common collaborative platform to monitor, measure, and manage compliance, operational, and financial risk across all business lines and legal entities.

Organizations are able to fulfill immediate enterprise risk management objectives in a targeted, cost-effective manner while concurrently building a holistic, enterprise wide program.

"The organization's ERM system should function to bring to the board's attention the most significant risks affecting entity objectives and allow the board to understand and evaluate how these risks may be correlated, the manner in which they may affect the enterprise, and management's mitigation or response strategies."

(COSO, 2009)



From Planning to Execution: Our Experts are Part of the Process

With decades of compliance expertise, technical proficiency, product knowledge, and strong project management skills our consultants are former compliance officers, regulators, lawyers and data management experts. They are dedicated to ensuring that the technical investment addresses the challenges outlined at the beginning of the project.

Following our established risk management project methodology we will help to:

- Determine the breadth and depth of the risk assessment process
- Identify the organization and document regulatory risks and controls
- Map the risks and controls to the different business functions and client specific organizational structure
- Analyze different risk scoring methodologies and select one that fits the institution's risk management program
- Discuss institution processes and risk management best practices to incorporate into the workflow for risk assessments, issue management, and error/loss documentation
- Evaluate controls in designated areas to discuss gaps, industry standards, and recommendations
- Provide documentation and training for the solution both on how to use the system, why it is being used, and how to use results from the system

With ARC Logics, financial services organizations can:

- Implement effective regulatory and internal risk limits
- Conduct testing to monitor compliance and measure risk
- Quantify capital-centered risks
- Evaluate and track risks across sectors, product lines, and locations
- Provide transparency into risk to senior management and board members
- Develop comprehensive internal controls
- Make informed decisions in a rapidly changing environment

WYNIWYG™

What You Need Is What You Get™

ARC Logics provides actionable risk intelligence — when and where it's needed most — on a proven technology platform. It gives every user a high degree of situational understanding that is delivered with speed, accuracy and timeliness.

Wolters Kluwer Financial Services provides best-in-class compliance, content, and technology solutions and services that help financial organizations manage risk and improve efficiency and effectiveness across their enterprise. The organization's prominent brands include ARC Logics, CCH®, TeamMate, and Sword; as well as Bankers Systems, VMP® Mortgage Solutions, PCI, AppOne®, GainsKeeper®, Capital Changes, NILS, AuthenticWeb™ and Uniform Forms™. Wolters Kluwer Financial Services is part of Wolters Kluwer, a leading global information services and publishing company with annual revenues of of €3.4 billion (\$4.8 billion), approximately 19,300 employees worldwide and operations in over 40 countries across Europe, North America, Asia Pacific, and Latin America.

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